



06-08-04

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PTO/SB/21 (08-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4+

Application Number

10/761,676

Filing Date

01/21/2004

First Named Inventor

Jesse R. BLACK

Art Unit

Not Yet Assigned

Examiner Name

Not Yet Assigned

Attorney Docket Number

TH2438

### ENCLOSURES (Check all that apply)

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation   | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer   | 1) 5 refs. attached (9 refs total);   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund  | 2) Certificate of Mailing 37 CFR 1.10; and  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____   | 3) Return Receipt Postcard.   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | <input type="checkbox"/> Remarks   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (TH2438), maintained by Paula D. Morris & Associates, P.C. d/b/a The Morris Law Firm, P.C. |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Paula D. Morris, Reg. No. 31,516
Signature	<i>Paula D. Morris</i>
Date	6-7-04

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Black § Group Art Unit: Not Yet Assigned

Serial No.: 10/761,676 § Examiner: Not Yet Assigned

Filed: January 21, 2004 § Atty. Docket No.: TH2438

Title: PROCESS FOR PRODUCING PHENOL AND METHYL ETHYL KETONE

**MAIL STOP NON FEE AMENDMENT**

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO/SB/08A be considered by the Examiner and made of record.

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Respectfully submitted,

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Substitute for form 1449/PTO

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/761,676
Filing Date	01-21-2004
First Named Inventor	Jesse R. Black
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	TH2438

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

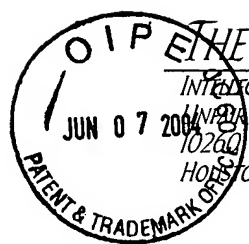
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		EP0548986A1	06-30-1993	SUMITOMO		
		EP0578194B1	01-12-1994	SUMITOMO		
		EP1088807A1	04-04-2001	PHENOLCHEMIE		
		EP01088809A1	04-04-2001	PHENOLCHEMIE		
		JP62-114922	05-26-1987	DAINIPPON INK		

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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#### Attached are the following pages:

Transmittal form PTO/SB/21 [1 page],  
Information Disclosure Statement transmittal [1 page];  
IDS form PTO/SB/08A listing 9 total references [1 page]  
5 Foreign Patent Documents and return receipt postcard.

<b>Applicant:</b>	<u>Jesse R. BLACK</u>	<b>Group Art Unit:</b>	<u>Not Yet Assigned</u>
<b>Serial No.:</b>	<u>10/761,676</u>	<b>Examiner:</b>	<u>Not Yet Assigned</u>
<b>Filing Date:</b>	<u>01/21/2004</u>	<b>Atty. Docket No.:</b>	<u>TH2438</u>
<b>Title:</b>	<u>Process for Producing Phenol and Methyl Ethyl Ketone</u>		